



**Western Cape
Government**

Department of the Premier

EVALUATION UPDATE NO. 3

‘An annual evaluation review on the
implementation of the Western Cape
Government Provincial Evaluation Plan
2013/14 – 2015/16’

June 2016

Executive Summary

The Western Cape Government (WCG) takes pleasure in announcing that it has completed the implementation of its 1st rolling three (3) year Provincial Evaluation Plan (PEP).

The review of the implementation of all provincial evaluations undertaken is documented in this annual publication. It is the third evaluation update and takes into account the PEP and subsequent plans produced within the period 2013/14 to 2015/16. This update provides content for the Annual Report on the Nation Evaluation System (NES) as produced by the Department of Planning, Monitoring and Evaluation (DPME). The NES is a mandate of DPME; and at a provincial level, this mandate lies with the Department of the Premier who is tasked to roll -out of the National Evaluation Policy Framework (NEPF).

The Department of the Premier approached the practice of government evaluations within the broader framework of the Provincial-wide Monitoring and Evaluation System (PWMES) that is premised on the Government-wide Monitoring and Evaluation System (GWMES). Within the context of the NES, the basic elements are outlined which include the audit of evaluations; development of guidelines; evaluation quality assessments; the testing of an evaluability tool; communication; the update and maintenance of an evaluation repository and the evaluation technical working group. A brief summary of progress on provincial institutionalisation related to each element is provided. In line with the six (6) types of evaluations as advocated in the NEPF the WCG conducted one (1) diagnostic evaluation, ten implementation evaluations and 12 impact evaluations across the WCG over the three (3) year period;

One of the key achievements to note relates to the international recognition afforded to the WCG for its role in terms creating an institutional enabling environment across provincial departments and the use of the Evaluation Technical Working Group as a mechanism to drive provincial evaluations. One of the lessons learnt relates to the importance of having a sound data management and data assessment process to ensure the usefulness and value of evaluations.

This update also highlights the application of the results-based approach towards government spending. Analysis indicates that the total budget of the evaluations contained in the rolling three year PEP amounted to R11, 3m which equals 0.008% of the total Western Cape budget.

In terms of the status of evaluations, there were 23 approved evaluations from 2013/14 – 2015/16. From these 23 approved evaluations, 21 evaluations have been completed and two (2) evaluations remain incomplete due to various reasons beyond the control of the respective departments. From these 21 evaluations, eight (8) improvement plans are currently being implemented. A total of 14 evaluations have been subjected to an independent quality assessment process based on specific criteria resulting in a quality assessed score ranging from 1-5. A brief overview of each of the completed evaluations is provided in section 5.

This update concludes with the key implementation issues that is necessary to guide the lessons learnt and the future initiatives that should be embarked upon during the implementation of the 2nd Western Cape Government Provincial Evaluation Plan. In this regard, the priorities are presented with a list of the evaluations to be implemented from 2016/17 to 2018/19 within the Western Cape Province.

About the publication

This annual publication provides a review of the implementation and progress of all provincial evaluations undertaken that form part of the Provincial Evaluation Plan (PEP) to support evidence-based decision making, planning and monitoring and evaluation. This publication provides strategic management information on the implementation of evaluations linked to the provincial priorities of the WCG in line with the national and provincial imperatives. This publication is produced annually. It is the third evaluation update and takes into account the PEP and subsequent plans produced within the period 2013/14 to 2015/16. The next evaluation update will take into account the 2nd PEP for the 2016/17 to 2018/19 financial year.

The source for producing this update is the Provincial Evaluation database. The purpose of this database is to build and maintain an updated evaluation body of knowledge that comprises the institutionalisation of the WCG PEP.

These Annual Evaluation Updates constitute an integral part of the Provincial Evaluation System. It provides content for the Annual Report on the NES as produced by DPME within the context of the NES and the related directives of the National Evaluation Policy Framework (NEPF) of South Africa.

The sections in this publication cover:

Section 1: An Introduction

Section 2: Institutionalisation of the NES at the Provincial level

Section 3: The Financial value of the WCG 2013/14 – 2015/16 PEP

Section 4: Overview of the status of evaluations in the WCG 2013/14 – 2015/16 PEP

Section 5: Progress relating to evaluations in the WCG 2013/14 – 2015/16 PEP

Section 6: Key Implementation Issues

Section 7: Priorities for the implementation of the 2nd PEP 2016/17 – 2018/19

Abbreviations and Acronyms

AEA	American Evaluation Association
BI	Business Intelligence
CSC	Corporate Services Centre
DPME	Department of Planning, Monitoring and Evaluation
GWMES	Government-wide Monitoring and Evaluation System
MTEC	Medium Term Expenditure Committee
NEPF	National Evaluation Policy Framework
NES	National Evaluation System
PEP	Provincial Evaluation Plan
PES	Provincial Evaluation System
PSG	Provincial Strategic Goal
PSO	Provincial Strategic Objective
PWMES	Provincial-wide Monitoring and Evaluation System
RBME	Results-based Monitoring and Evaluation
SAMEA	South African Monitoring and Evaluation Association
ToR	Terms of Reference
WCG	Western Cape Government

1. INTRODUCTION

1.1 Introduction to the third Annual Evaluation Update

The development of the NES of South Africa is a mandate of DPME in the Presidency. DPME is the custodian of the National Evaluation Policy Framework (NEPF) that was approved by Cabinet on 23 November 2011. The NEPF suggests that the purpose of evaluation relates to improving policy or performance: improving accountability and improving decision making and knowledge about what works and what does not with regards to public policies, plans, programmes or projects. In 2012, the Department of the Premier agreed to undertake the institutionalisation of the NES in the WCG and the roll -out of the NEPF at a provincial level though the development and implementation of provincial Evaluation Plans.

This Evaluation Update is timed to be able to report on the implementation and progress of all provincial evaluations that constitutes the first WCG PEP 2013/14 - 2015/16. This update is produced annually and takes into account the first rolling three (3) year PEP and subsequent plans produced within the period 2013/14 to 2015/16. This is the third and final update linked to the WCG PEP 2013/14 - 2015/16 and hence presents a cumulative account of the status and progress of all evaluations conducted and concluded over the past three year period.

2. INSTITUTIONALISATION OF THE NATIONAL EVALUATION SYSTEM (NES) AT THE PROVINCIAL LEVEL

2.1 The NES and the NEPF and its institutionalised approach at a provincial level

The NEPF sets the approach for establishment of a NES. The institutionalisation of the NES at a provincial level was done through the roll-out of the NEPF. The NES is a coordination of government evaluations as a function in government to ensure high quality evaluations that underpin public policy and related programmes, projects and services.

Within this context, evaluation is described as a “systematic collection and objective analysis of the evidence on public policies, programmes, projects, functions and organisations to assess issues such as relevance, performance (effectiveness and efficiency), value for money, impact and sustainability and recommend ways forward to improve service delivery.

The Department of the Premier approached the practice of government evaluations within the broader framework of the PWMES¹ that is premised on the GWMES². This approach could be taken as the WCG had a centralised and transversal approach to lead and institutionalise Results-based Monitoring and Evaluation (RBME)³ across all WCG departments. At a provincial level, the evaluation system represents the Provincial Evaluation System (PES) which is also a sub-system to the PWMES.

The institutionalisation of the PES also received international recognition as the process was nominated for presentation to serve as a good practice during the 2015 International year of Evaluations. At a local level the Department of the Premier presented at the South African Monitoring and Evaluation Association (SAMEA). At an international level, participation was at the American Evaluation Association (AEA) in Chicago; at the Canadian Evaluation Society as well as engagements with the Canadian Treasury Board in Ottawa.

¹ The PWMES is a RBM&E system and operates through an effective indicator and data management system.

² The GWMES of the Presidency is the Regulatory Framework to which the PWMES for the Western Cape Province adheres to.

³ The RBM&E approach focuses on measuring results against outcomes and the relationship between the outcomes objectives to be achieved.

2.2 Basic Elements of the National Evaluation System and alignment the Provincial Evaluation System

The basic elements of the evaluation system are outlined as sub-headings. Each sub-heading provides a brief summary of progress on the institutionalisation which was established as part of the Province-wide Evaluation System.

1. Audit of Evaluations: The Department of the Premier has produced a Dictionary of Evaluations that is updated and maintained continuously. Each evaluation completed is profiled according to data quality criteria. It provides a snapshot of evaluations conducted by the WCG for a given period.

2. Development of Guidelines: In line with the NES and in addition to guidelines indicated in previous Evaluation Updates Numbers 1 and 2, the WCG produced the following guidelines during 2015/16:

- The development of a Departmental Evaluation Plan for the Department of the Premier consisting of one (1) implementation evaluation, one (1) impact evaluation, one (1) diagnostic evaluation and one (1) economic evaluation;
- A guideline for the standard institutionalisation of the NES at a provincial departmental level for the WCG;
- An Evaluation paper entitled: Institutionalising the National Evaluation System of South Africa at a provincial level for the Western Cape Government;
- Various presentations relating to the institutionalisation of the WCG provincial evaluation system at a provincial level that was presented during the 2015 Year of Evaluations.

This was done to facilitate a standardised implementation approach across the WCG PEP 2013/14 – 2015/16 and the updated PEPs for 2014/15 and 2015/16 respectively.

3. Evaluations Quality Assessment: All evaluations contained in the WCG PEP 2013/14 – 2015/16 are subjected to an independent quality assessment process. This is done in collaboration with DPME. A guideline for the standard institutionalisation of the NES at a provincial departmental level for the Western Cape has also been developed. To date 14 out of the 21 completed evaluations have been quality assessed.

4. Evaluability Tool: Collaboration between the WCG Department of the Premier, DPME and the Witwatersrand Health Consortium resulted in an Evaluability tool being designed and tested on the WCG Corporate Services Centre (CSC) Implementation evaluation. The purpose of this tool was to check the extent to which and whether a programme could be evaluated in a reliable and credible manner. The overall finding was that the concept note was well constructed and comprehensive.

5. Communication: There is continuous communication between DPME and the Evaluation Co-ordinator for the WCG province. The Chief Director: Strategic Management Information in the Department of the Premier is a member of the cross government Technical Working group. Functions relating to this group refer to the approval of evaluation guidelines and templates

and commenting on evaluation standards and competencies.

6. Evaluations Repository: All completed evaluation reports are sent to the WCG Business Intelligence (BI) site and archived in a WCG Evaluation database. An Evaluation Dictionary in which all completed WCG PEP evaluations are profiled has been compiled as this is updated as evaluations are completed; and then stored in respective folders with all meta data relating to the evaluation.

7. Evaluation Technical Working Group: Technical support was provided to all implementing departments during the duration of the WCG PEP 2013/14 – 2015/16. Quarterly engagements were hosted by the Department of the Premier during the three (3) year period with the main purpose of engaging with the members regarding progress relating to the implementation of the WCG PEP.

2.3 The roll out of the National Policy Framework (NEPF) in the WCG

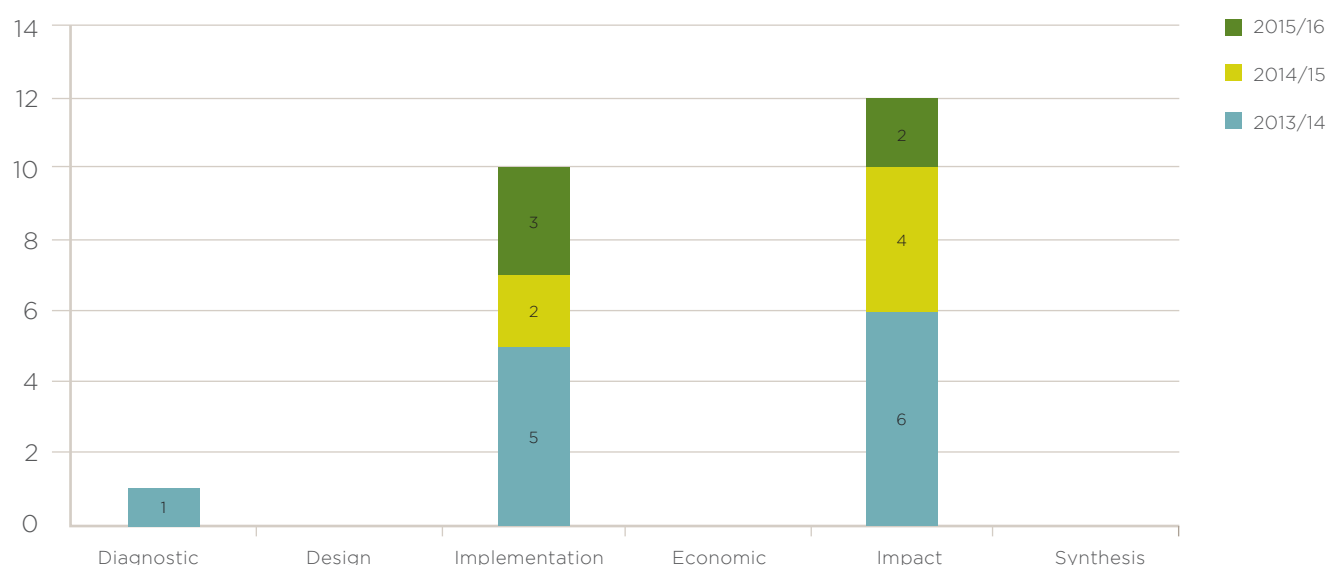
The WCG PEP followed the key principles of the NEPF. These principles can be summarised as making an annual call for evaluations, the application of the recommended types of evaluations and also the criteria as outlined in the NPEF. In addition, to conceptualise the evaluations for the development of three (3) year provincial evaluation plans as well as implementing the evaluation in accordance to the evaluation cycle within the context of the NES.

For the three year period, three (3) annual calls were consecutively made for evaluation proposals amongst the 13 WCG departments. These three (3) calls yielded 23 evaluations over the three (3) year period; out of which 21 evaluations have been completed and two (2) evaluations not due to various reasons beyond the control of the respective departments.

In line with the six (6) types of evaluations as advocated in the NEPF, figure 1 below demonstrates:

- One (1) diagnostic evaluation, ten implementation evaluations and 12 impact evaluations were conducted across the WCG over the three (3) year period;
- No design, economic or synthesis evaluations were conducted during this three (3) year period hence indicating that more rigorous advocacy needs to take place to promote these types that were not undertaken during the implementation of this WCG PEP 2013/14 – 2015/16. This would then provide the WCG with the edge of having implemented the six (6) recommended types of evaluations as per the NEPF.
- It can also be reported that in line with strategic and reporting elements, these types of evaluations as indicated in figure 1 are aligned to all five (5) WCG Provincial Strategic Goals (PSGs) which along with the province's strategic priorities, sets the policy context for the Western Cape Province.

Figure 1: The types of evaluations in 2013/14 – 2015/16 PEP



2.4 Key Achievements during institutionalisation

For the end of the first three (3) year cycle journey, key achievements to date relating to the WCG PEP process are:

- The WCG has completed 21 out of 23 evaluations, two (2) annual evaluation updates and a continuously updated core directory of evaluations that leads to better insight into programmes/projects and interventions;
- The WCG instituted a cross-departmental Evaluation Technical Working Group as a mechanism for learning, advocacy and partnering on government evaluations. The Department of the Premier plays a pivotal role in leading and advising on evaluations ultimately for improved governance and this is achieved through this structure with the purpose of engaging regarding progress relating to the implementation of the WCG PEP 2013/14 – 2015/16.
- The institutionalisation of the WCG provincial evaluation system received international recognition as the process was nominated for presentation to serve as a good practice at the SAMEA and the AEA; as well as at the Canadian Evaluation Society and was presented during 2015 which served as the International year of Evaluations. During this process, major milestones achieved in institutionalising the NES replicated at a provincial level were shared. A paper entitled 'Institutionalising the National Evaluation System of South Africa at a provincial level for the Western Cape Government' was compiled that alludes to the WCG institutionalisation process. This paper is available and can be accessed through the Chief Directorate: Strategic Management Information BI website within the WCG Department of the Premier.
- Taking into consideration 'critical thinking questions' to add value to the WCG evaluations. Specific questions such as: what the evaluation gains are for the WCG; how the WCG compares to other provinces, national departments and internationally in the way that evaluations are conducted; what are the lessons that are learnt and shared; how do the results of the evaluations affect the way that the WCG does things and does evaluations have an impact?
- Taking into account the existing monitoring and evaluation systems, related approaches and

policy priorities at a provincial level, the WCG has set the platform across South Africa for the 'building of an institutional enabling environment amongst thirteen departments in relation to the evaluation function;

- The implementation of quality evaluations and the continuous reporting on the progress of these evaluations through the tracking of recommendations for demonstrating how the evidence have been used;
- Promoting the use of evaluations and the advocacy with executive stakeholders on the importance of evaluations for development outcomes and the linking of these evaluations for better impact and cost saving of government programmes.
- Promoting the importance of evaluations for development and the linking of these evaluations to the integrated government strategic planning and budgeting processes, the joint budget circular 2 processes and communique compiled by the Provincial Treasury which now includes the annual call for evaluations and including this as part of the provincial Medium Term Expenditure Committees (MTEC).
- With the evolution of the application of data governance that aims to ensure that data producers and data users produce high quality data, brings this data together in a coherent way, provide access and disseminate the data in a coherent and structured manner, the WCG is currently leading evaluations within the broader data governance discussion.
- The institutionalisation of the NES has resultantly strengthened the Results-based Monitoring and Evaluation (RBME) approach as adopted by the WCG. This enables the process of evidence-based decision making towards achieving the outcomes of the province's priorities.

2.5 Key lessons learnt during institutionalisation

The three year implementation period to date has evolved into a number of key lessons for better conducting and use of government evaluations practices in the WCG. These are outlined below.

1. Value of the audit of evaluations:

The pilot conducted at the onset of the roll-out of the NEPF confirmed that the evaluations conducted during 2005 to 2011 was applied sporadically and not informing planning and/or development outcomes sufficiently. The realisation of poor evidence and the related cost of producing evidence in a silo approach, gave sufficient reason to advocate for a transversal and integrated approach for evaluation practice across the five (5) key PSGs and across the thirteen departments.

The development of standards to measure the usefulness of evaluation is now a fundamental requirement to ensure the increased use of quality evidence for better planning, Monitoring and Evaluation, informed citizenry, policy development, decision-making and governance. This discussion feeds into the broader Province-wide Data Governance Framework of the WCG.

2. Learning and capacity development:

From the onset, there was a huge demand to respond to the capacity requirements in terms of understanding the evaluation types, methods and approaches. There was also a lack of understanding on how best to apply the procurement system for utilising external service

providers to conduct evaluations. This was a major challenge, as programme managers required subject matter context as well as insights into the market of external service providers. A major disappointment with reference to this process was to experience the poor quality proposals delivered by service providers.

The Department of the Premier has developed a 'Guideline for standard institutionalisation of the NES at a provincial departmental level for the WCG. The draft has been reviewed and will continuously be updated.

3. Having a sound data management and data assessment process:

The quality assurance conducted on the completed evaluations highlighted the importance of a complete set of baseline data, monitoring data and routine data to deliver a grounded theory of change. The pilot on the evaluability assessment tool highlighted the consequence of having an evaluation that is not implementable due to a lack of data and information to inform the underlying root cause, theory of change or a logic model. It also identified a lack of data and information at a level of an output, outcome and unit cost. Furthermore, the lack of reports on previous evaluations conducted also leaves a huge gap.

4. Overcoming the fear of embarking on evaluations:

The requirement for programme managers and evaluation practitioners to take joint ownership for conducting evaluations required a change in the mind-set of government around Monitoring and Evaluation practices. Accountability and joint responsibility enabled a different approach towards the institutional and performance environment. Once the programme managers have gone through the six (6) evaluation phases with its related sub-phases; and have experienced the key milestones, the programme managers created a premise for critical thinking on the evaluation methods and approaches. This accountability and joint ownership find expression throughout the evaluation journey especially as it relates to the importance of the unit of analysis, the research questions, the focus and type of the evaluation, the highlights of the literature reviews and the value of the theories of change, the analytic frameworks, questionnaire design and questionnaire testing. In essence, the approach to overcome the fear for evaluations is for public sector programme managers to experience the collaboration with the Evaluation Steering Group in evaluating the specific unit of analysis of an intervention and/or budget programme.

The Department of the Premier has now taken the approach to lead and partner in RBME products and systems where evaluation results serves as a product for evidence based decision-making. This creates a premise for further institutionalisation and strengthening approaches.

3. THE FINANCIAL VALUE OF THE WCG 2013/14 – 2015/16 PROVINCIAL EVALUATION PLAN

The WCG, through its PEP process collected high quality information related to specific units of analyses, with its key priority areas; and analysed this to recommend improvements. These improvements are essential for informed options to internal decision makers; and provide candid assessments of plans and performance. Without this type of information, the government is not well placed to respond to funding cuts and longer term challenges of providing sustainable, high-quality services and supporting economic growth.

The WCG has applied an evidence-based approach to government spending. The use of evaluation findings to inform planning is a recommendation in the annual Budget circular produced by Provincial Treasury: and as from 2015/16, the call for evaluations is made annually through the Budget circular.

The first call for evaluations in 2012/13 yielded a total of 23 evaluations, of which 21 were implemented. Figure 2 below demonstrates that the total budget for these evaluations amounted to R11.3m which equals 0.008% of the total WCG budget. Essential points highlighted here include that 10 out of 13 departments participated in the institutionalisation of the first WCG provincial evaluation process and that the Department of Agriculture had the highest spend of R4 900 000 over the three (3) year period.

Figure 2: Planned budget for evaluations 2013/14 – 2015/16

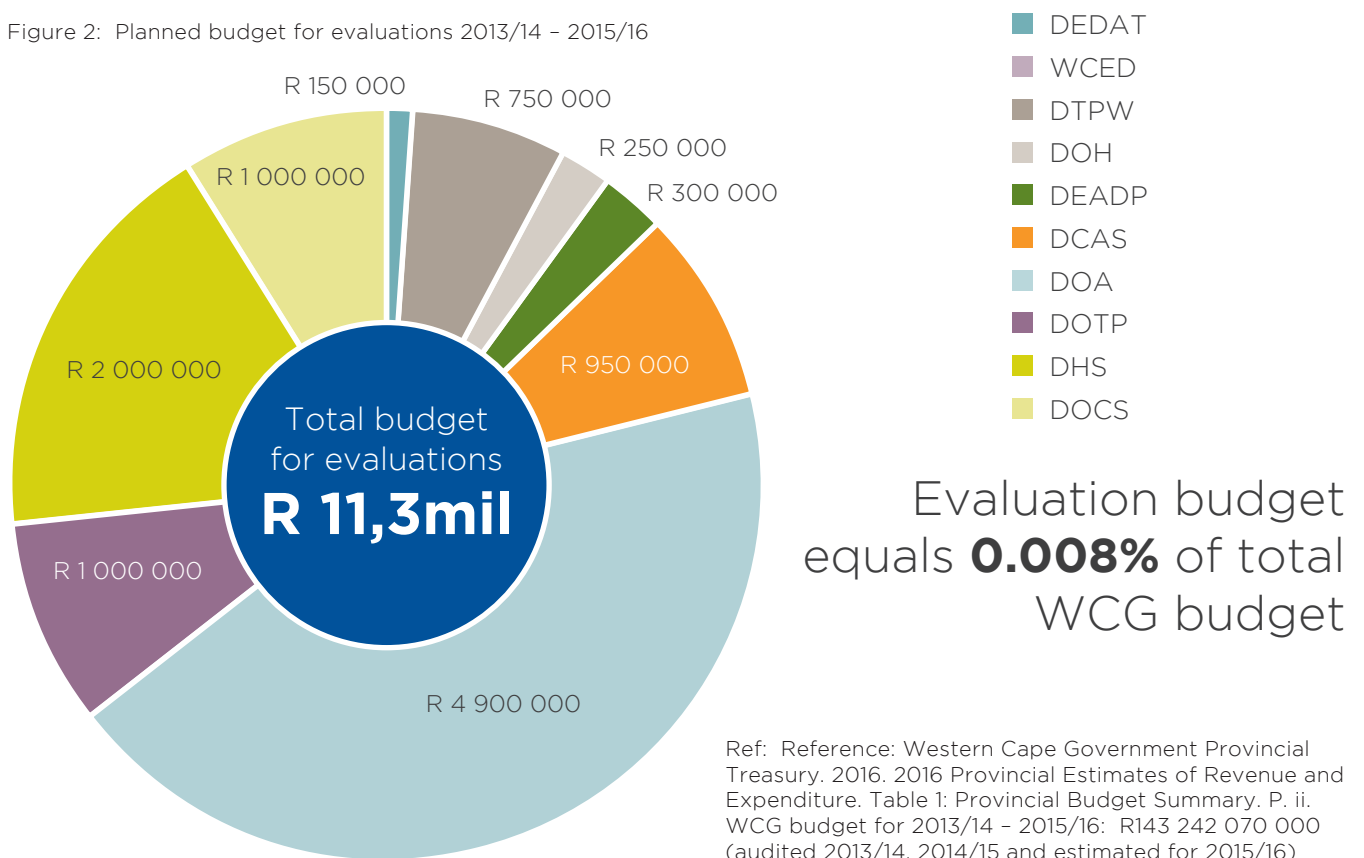
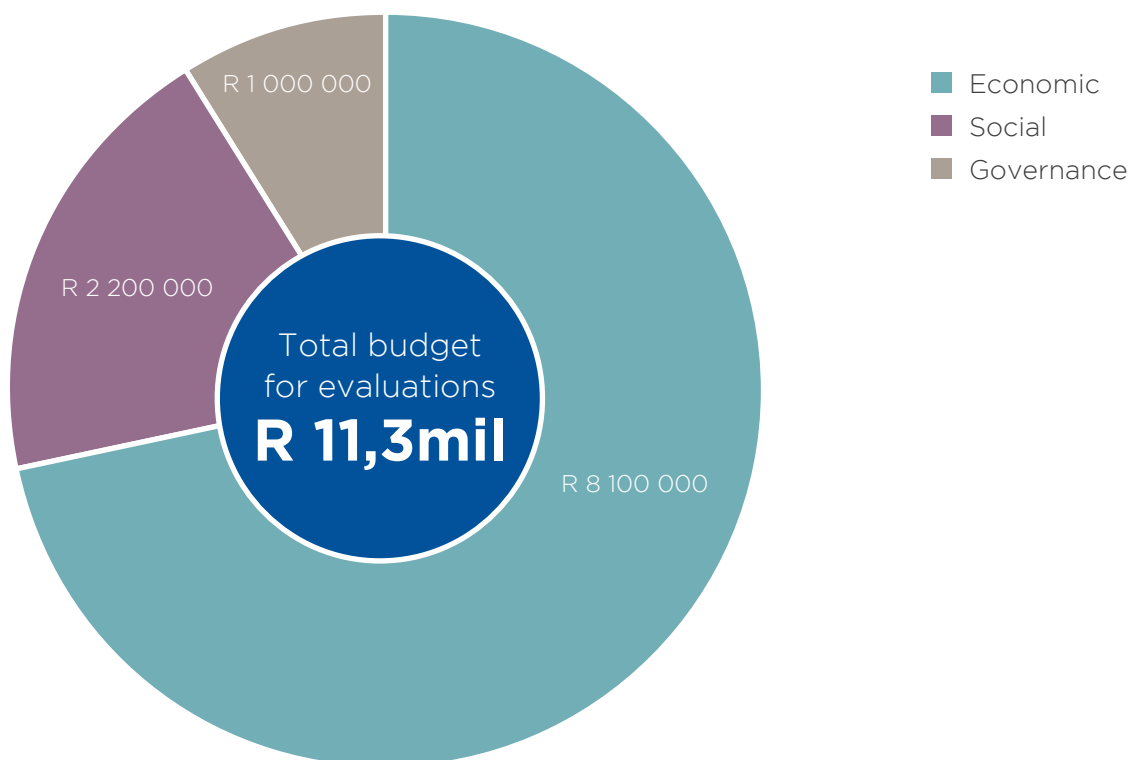


Figure 3: Planned budget for evaluations per sector



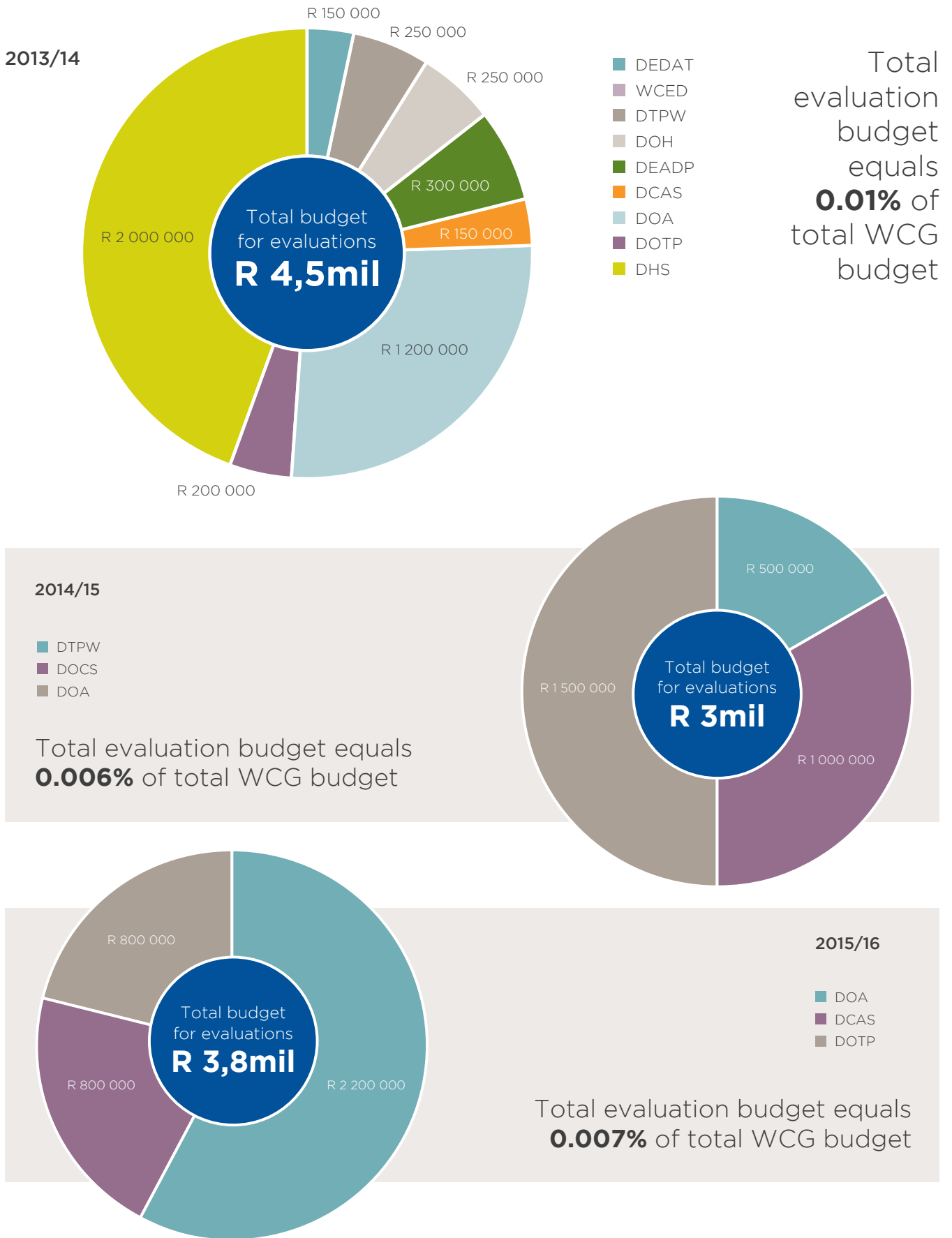
In terms of the WCG planned budget for evaluations per sector, Figure 3 above indicates that provincial departments within the Economic sector has spent the most budget (R8 100 000) on evaluations in comparison to the social sector spending R2 200 000 and the Governance sector spending the least at R1 000 000.

Figure 4 below represent a further breakdown of the total budget for evaluations with reference to the financial years (2013/14; 2014/15 and 2015/16). Highlights here refer:

- The total budget for planned evaluations within 2013/14 amounted to R4.5m which equals 0.01% of the total WCG budget.
- The total budget for planned evaluations within 2014/15 amounted to R3m which equals 0.006% of the total WCG budget.
- The total budget for planned evaluations within 2015/16 amounted to R3.8m which equals 0.007% of the total WCG budget.

Furthermore, it should be mentioned that across all three (3) financial years as indicated above, the Department of Agriculture as an implementing department, has the highest spend on evaluations amounting to R9 600 000.

Figure 4: Planned budgets for evaluations conducted over the rolling three (3) year period i.e. 2013/14; 2014/15 and 2015/16



References: Western Cape Government Provincial Treasury. 2016. 2016 Provincial Estimates of Revenue and Expenditure. Table 1: Provincial Budget Summary. P. ii. WCG audited budgets for 2013/14: R43 276 65 000; 2014/15: R47 827 706 000 and 2015/16: R52 138 299 000

Further analysis relating to the number of evaluations per budget category is indicated in Figure 5 below. Out of the three (3) budget categories indicated, a total of 10 evaluations (representing the highest number of evaluations) is categorised within the R251 000 – R500 000 category. This evidence leads one to safely assume that 10 out of the 21 WCG PEP 2013/14 – 2015/16 evaluations completed can be cost within the R251 000 – R500 000 budget category.

Figure 5: Planned budget for evaluations per sector

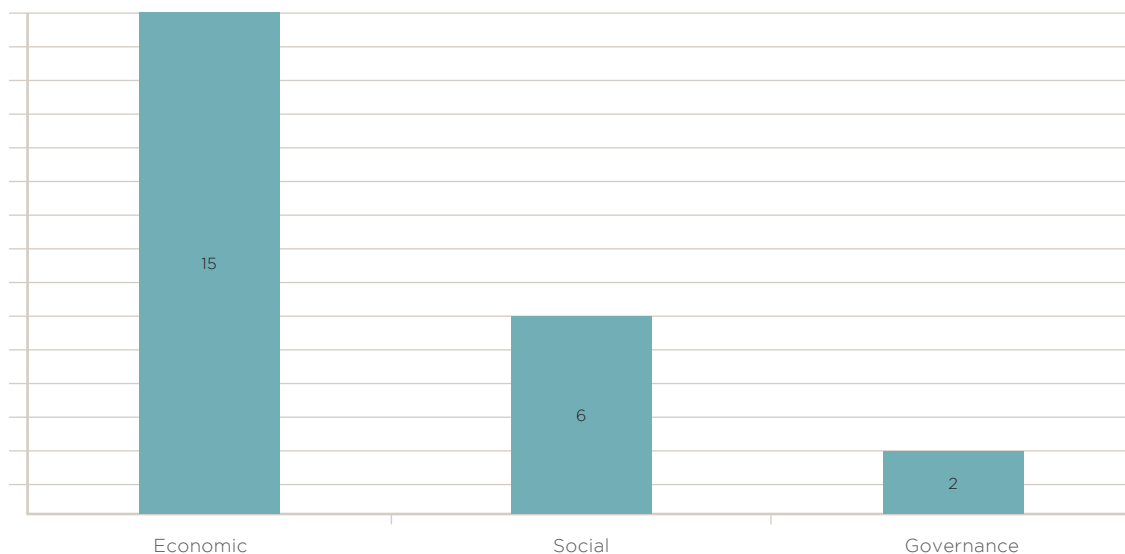
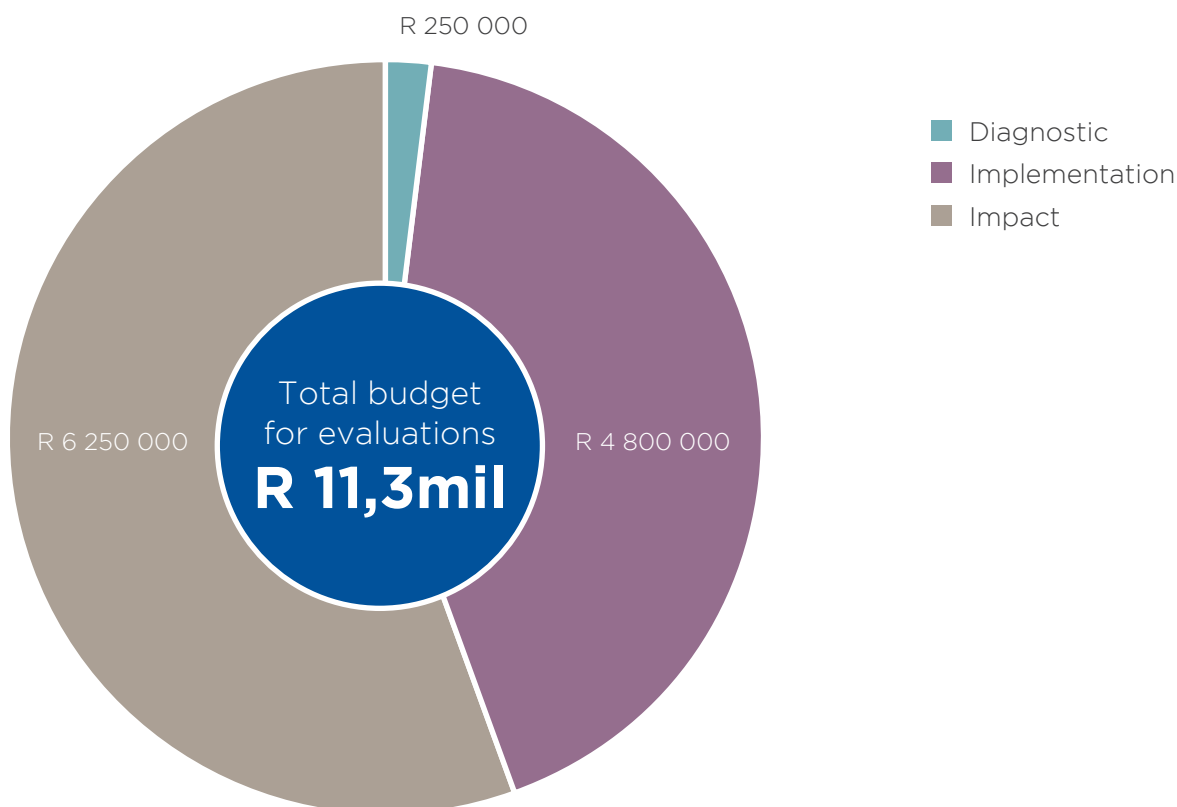


Figure 7: Planned budget per evaluation type (2013/14-2015/16)



In terms of the overall planned budget (R11.3m) for the WCG PEP 2013/14 - 2015/2016 per evaluation type, it is evident from Figure 7 above, that over period 2013/14 to 2015/16, the WCG conducted impact evaluations to the value of R6 250 000, Implementation evaluations costing R4 800 000 and a diagnostic evaluation which costs R250 000. A clear recommendation here would refer to that as only three (3) out of the six (6) types of evaluations recommended in the NEPF that has been focused on during the first three (3) year rolling WCG PEP, there be a concerted effort to promote the implementation of the other three (3) types of recommended evaluations namely economic evaluations, design evaluations and synthesis evaluations during the institutionalisation of the 2nd WCG PEP process.

4. OVERVIEW OF STATUS OF EVALUATIONS IN 2013/2014 – 2015/16 PEP

The status of evaluations contained in the 2013/14 – 2015/16 PEP are reported on as follows:

4.1 Status of Evaluations

The WCG PEP 2013/14 – 2015/16 contains 23 approved evaluations. From these 23 evaluations, 21 have been concluded of which a status account is presented in Table 1 below. The status in terms of implementation of these evaluations is recorded in the Table 1 below:

Table 1: Status of evaluations in the Western Cape Government Provincial Evaluation Plan 2013/14 – 2015/16

No. of evaluations in the PEP	Approved Concept notes and ToR's	Evaluations still being implemented	Approved Reports	Evaluations Quality Assessed	Improvement Plans being implemented	Evaluations on hold/dropped	Evaluations stuck
23	23	1	17	14	8	2	1

Currently there are five (5) evaluations that were not implemented. One (1) evaluation not completed, 17 evaluation reports finalised, 13 evaluations quality assessed, 13 evaluations have received a management response and eight (8) evaluations that are currently having improvement plans implemented.

In institutionalising the WCG provincial evaluation phased system approach, rigorous advocacy is planned for the Improvement phase which will enable the WCG to make strides in the utilisation of its evaluation results for improved evidence-based decision making.

4.2 Quality Assessment Status of Evaluations

Table 1 above indicates that out of the 21 completed evaluations contained in the 1st WCG three (3) year PEP, 14 of these evaluations have been subjected to an independent quality assessment process based on specific criteria and resulting in the allocation of a quality assessment score ranging from 1-5; where 5 reflects a good score and 1 reflects an inadequate score. The results of these quality assessments are reflected in Table 2 below:

Table 2: Quality assessment scores of completed Western Cape Government Provincial Evaluation Plan evaluations

Name of Evaluation	Implementing Department	Quality Assessment score
1. Evaluating the implementation of the Transversal Skills Intervention in supporting economic growth and job creation	Department of Economic Development and Tourism	3.75
2. An impact evaluation of the Provincial literacy and numeracy programme	Western Cape Education Department	3.10
3. The impact of the Safely Home Campaign on road crash fatalities in the Western Cape	Department of Transport and Public Works	3.90
4. Evaluation of the implementation and impact of Environmental Impact Assessment	Department Environmental Affairs and Development Planning	4.40
5. Evaluation of the implementation of the Mass participation; Opportunity and access; Development and growth (MOD) Programme	Department of Cultural Affairs and Sport	3.02
6. Evaluating the impact of Agricultural learnership training in the Western Cape	Department of Agriculture	3.39
7. Evaluating the impact of the Food Garden programme on household food security	Department of Agriculture	3.83
8. Evaluating the impact of the Market Access Programme	Department of Agriculture	3.46
9. Evaluating the outcome of the People's Housing Process	Department of Human Settlements	3.32
10. Evaluating the outcome of the upgrading of the Informal Settlement Programme	Department of Human Settlements	2.96
11. Evaluating the impact of the Safely Home Campaign on road crash fatalities in the Western Cape	Department of Transport and Public Works	3.35
12. Evaluating the Expanded Partnership Programme	Department of Community Safety	3.62
13. Evaluating the impact of crop rotation trials	Department of Agriculture	3.24
14. Impact evaluation of the annual Western Cape Farm Worker of the Year Competition	Department of Agriculture	3.85

5. PROGRESS RELATING TO EVALUATIONS IN THE WCG PEP 2013/14 – 2015/16

The section below outlines a brief narrative on the progress on each of the evaluations undertaken during the rolling three (3) year period from 2013/14 to 2015/16.

5.1 Evaluating the implementation of the Transversal Skills Intervention in supporting economic growth and job creation

This is an implementation evaluation conducted by the Department of Economic Development and Tourism.

Concept note and a Terms of Reference (TOR) for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of the evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled.

5.2 Impact evaluation of Provincial literacy and numeracy programme

This is an impact evaluation conducted by the Western Cape Education Department.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled. Of note with reference to this evaluation is that internal departmental human resources were used to conduct this evaluation as well as an internal departmental budget.

5.3 Impact of the Safely Home Campaign on road crash fatalities in the Western Cape

This is an impact evaluation conducted by the Department of Transport and Public Works. Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled.

5.4 A diagnostic evaluation of the factors contributing to diarrhoeal disease in children under five years living in the Western Cape

This is a diagnostic evaluation conducted by the Department of Health.

Concept note and a ToR for this evaluation completed and approved. Due to internal departmental funding constraints, this evaluation was conducted internally with an internal departmental budget. The evaluation is not complete as the evaluation report is still in the process of being completed. The status of this evaluation is thus classified as 'stuck' as per Table 1 of this provincial update.

5.5 Evaluation of the implementation and impact of Environmental Impact Assessment

This is an implementation evaluation conducted by the Department of Environmental Affairs and Development Planning.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled. Of note with reference to this evaluation is that the evaluation process received a quality assessment score of 4.40 out of 5 which is the highest score attained within the progress reporting of the WCG PEP 2013/14 to 2015/16. The evaluation could hence be recommended as a good practice.

5.6 Evaluation of the implementation of the Mass participation; Opportunity and access, Development and growth (MOD) Programme

This is an implementation evaluation conducted by the Department of the Cultural Affairs and Sport.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled.

5.7 Evaluating the impact of Agricultural learnerships training in the Western Cape

This is an implementation evaluation conducted by the Department of the Agriculture. Concept note and a ToR for this evaluation completed and approved. The evaluation is complete.

A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.8 Evaluating the impact of the Food Garden programme on household food security

This is an impact evaluation conducted by the Department of the Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.9 Evaluating the impact of the Market Access programme

This is an impact evaluation conducted by the Department of the Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.10 Evaluating the implementation of PSO 12 as it relates to improving good governance

This is an implementation evaluation to have been conducted by the Department of Premier.

Concept note and a ToR for this evaluation completed and approved. The evaluation however was not implemented due to the fact that an assessment of Provincial Strategic Objective (PSO) 12 was requested in place of this evaluation.

5.11 Evaluating the outcome of the People's Housing Process

This is an impact evaluation conducted by the Department of the Human Settlements.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled.

5.12 Evaluating the outcome of the upgrading of the Informal Settlement Programme

This is an implementation evaluation conducted by the Department of the Human Settlements.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled.

5.13 Evaluating the impact of the Safely Home Campaign on road crash fatalities in the Western Cape

This is an implementation evaluation conducted by the Department of the Transport and Public Works.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan is in the process of being compiled.

5.14 Fatigue Management

This is an impact evaluation conducted by the Department of Community Safety.

Concept note and a ToR for this evaluation completed and approved. The evaluation however was not conducted as it was withdrawn from the WCG PEP 2013/14 – 2015/16 due to the function shift of Traffic Services from the Department of Community Safety to the Department of Transport and Public Works who had no budget for this evaluation to be implemented.

5.15 Evaluating the Expanded Partnership Programme

This is an implementation evaluation conducted by the Department of Community Safety.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.16 Evaluating the impact of crop rotation trials

This is an impact evaluation conducted by the Department of Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.17 Evaluating the impact of abattoir inspections

This is an impact evaluation conducted by the Department of Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation was however replaced by an implementation evaluation relating to service requirements of various farmer categories. This evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.18 Impact evaluation of the annual Western Cape Farm Worker of the Year Competition

This is an impact evaluation conducted by the Department of Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan

has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the Department of the Premier.

5.19 An evaluation of the availability, extent and utilisation of agricultural economic databases

This is an implementation evaluation conducted by the Department of Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete and the evaluation report was submitted for quality assessment. We are still awaiting the quality assessment score from DPME. The management response process for this evaluation is currently underway after which an improvement plan will be compiled and approved by the management of the department for implementation.

5.20 An evaluation of ten years of training of future agriculturists

This is an implementation evaluation conducted by the Department of Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete and the evaluation report was submitted for quality assessment. We are still awaiting the quality assessment score from DPME. The management response process for this evaluation is currently underway after which an improvement plan will be compiled and approved by the management of the department for implementation.

5.21 An evaluation of the extension Revitalisation Programme

This is an impact evaluation conducted by the Department of Agriculture.

Concept note and a ToR for this evaluation completed and approved. The evaluation was however replaced by an impact evaluation relating to the legislative environment on Farmers and the Agricultural sector.

This evaluation is complete. A sound methodology was applied for this evaluation. Specific recommendations of this evaluation have been accepted by the management of the department. An improvement plan has been compiled and accepted by the department's senior management cadre including the Head of Department. The improvement plan is currently being implemented and regular progress reporting is provided to the WCG Evaluation Technical Working Group.

5.22 An evaluation of the impact of broadband on library users in the Western Cape

This is an impact evaluation to have been conducted by the Department of Cultural Affairs and Sport.

Concept note and a ToR for this evaluation completed and approved. The evaluation was however postponed due to the national Department of Arts and Culture conducting a similar evaluation. Once this evaluation is completed, the department will scrutinise the key findings and recommendations of this evaluation; and then assess the necessity to whether or not continue with this evaluation. Progress will continuously be reported on.

5.23 An evaluation of the Western Cape Government Corporate Services Centre

This is an implementation evaluation conducted by the Department of the Premier.

Concept note and a ToR for this evaluation completed and approved. The evaluation is complete and the evaluation report was submitted for quality assessment. We are still awaiting the quality assessment score from DPME. The management response process for this evaluation is completed and an improvement plan has been compiled and approved by the CSC Executive Management Committee. The improvement plan is being implemented and regular progress reporting is provided to the WCG Evaluation Technical Working Group.

6. KEY IMPLEMENTATION ISSUES

The implementation issues guides the lessons learnt and future initiatives to be embarked upon. The WCG PEP process can be attributed to promotion and the advocacy with Executive stakeholders on the importance of evaluations for development outcomes; and the linking of these evaluations for better impact and cost saving of government programmes. It can also be attributed to the technical quality of evaluations and the continuous reporting on the progress of these evaluations inclusive of the tracking of recommendations for demonstrating how the evidence has been used.

6.1 The key implementation issues related to the WCG Provincial Evaluation Plan 2013/14 – 2015/16

- The Department of the Premier has continuously worked through this three (3) year cycle to ensure that all WCG evaluation concepts are of good quality in order for it to qualify to be implemented. Quarterly progress and feedback assumed the format of briefing notes and a quarterly consolidated WCG progress report on the implementation of the respective PEPs as approved by the Evaluation Technical Working Group. Quarterly progress updates on the WCG PEP 2013/14 – 2015/16 were also provided to DPME. Progress reporting highlights key lessons learnt as well as the management responses to the concluded evaluations including the monitoring of the improvement plans for each evaluation conducted during the three (3) year period. Technical briefing sessions and relevant capacity building was conducted through the Evaluation Technical Working Group.
- Implementing departments have factored the funding of evaluations in their 2013/14, 2014/15 and 2015/16 departmental strategic planning processes; and have used the respective MTEC processes as their vehicle to table their requests for funding the evaluations contained in the relevant PEPs. The Department of the Premier worked in collaboration with the Department of Provincial Treasury to ensure that all implementing WCG departments utilised a part of their overall budget to conduct the evaluations as contained in the consecutive plans.
- All implementing departments have concluded the evaluations contained in the 2013/14 PEP with its subsequent amendment and annual updates. The draft final evaluation reports are either in the process of conclusion or have been concluded. The management responses for the draft evaluation reports will be requested and this will lead to the compilation of the final evaluation reports. These final evaluation reports will then be subjected to a quality assessment process.

7. PRIORITIES FOR THE IMPLEMENTATION OF THE 2ND WCG PROVINCIAL EVALUATION PLAN 2016/17 – 2018/19

7.1 A list of the evaluations to be implemented in the following three (3) year WCG Provincial Evaluation Plan 2016/17 – 2018/19

In response to a call for evaluations sent out to the WCG departments via the Budget Statement 2 compiled by Provincial Treasury in August 2015, the following evaluations will be implemented during 2016/17 and to date constitutes the content of the WCG 2nd PEP 2016/17 to 2018/19:

- An evaluation of the future of agriculture in the Cape Winelands District;
- An evaluation of Youth, Safety and Religion Partnership Programme;
- A design evaluation of the Expanded Public Works Programme Integrated Grant;
- An evaluation of the Provincial Roads Maintenance Grant; and
- An evaluation of the Public Transport Operations General Grant.

7.2 Building of an institutional enabling environment amongst thirteen departments

Through institutionalising the NES at a provincial level for the WCG, the WCG has set the platform across South Africa for the building of an institutional enabling environment amongst thirteen departments. This has been achieved through taking into account the existing monitoring and evaluation systems, related approaches and policy priorities at a provincial level.

For the duration of the 2nd WCG PEP 2016/17 to 2018/19, the focus of the WCG provincial evaluation system will shift to:

- Focusing on the use of evaluation key findings and recommendations to improve policies and programmes within the WCG;
- Reporting on the tracking of evaluation recommendations and demonstrating how the evidence have been used;
- Engaging the Executive on critical analyses relating to the value of evaluations and findings;
- Further strengthening the RBME approach towards achieving outcomes of provincial priorities;
- Leading evaluations within the broader data governance discussion currently taking place within the WCG through the institutional architecture, overcoming fear on embarking on evaluations and matching the demand for evaluation data directly with production. This will enable the WCG to manage the implementation of the policy agenda for the province with better evidence, and this in turn will lead to improved data co-ordination across the WCG environment.

Department of the Premier

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